

SC  
#5A  
10/15/02

Docket: 003982.P002

Patent



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RECEIVED**  
OCT 11 2002  
Technology Center 2100

In Re Application of:

Sudhir Mohan et al.

Application No: 09/312,255

Filing Date: May 14, 1999

For: APPLICATIONS AND  
SERVICES SUPPORTED BY A  
CLIENT-SERVER  
INDEPENDENT  
INTERMEDIARY MECHANISM

Examiner: Cesar B. Paula

Art Unit: 2176

Confirmation No. 2351

Assistant Commissioner for Patents  
Washington, D.C. 20231

**AMENDMENT AND RESPONSE TO OFFICE ACTION**

Sir:

In response to the Office Action mailed on July 2, 2002, please enter the following amendments and consider the following remarks.

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on October 2, 2002  
Date of Deposit

Pamala Stephenson

Name of Person Mailing Correspondence

Pamala Stephenson October 2, 2002  
Signature Date



Attorney's Docket No.: 3982.P002

Patent

In re the Application of: Sudhir Mohan, et al.

(inventor(s))

Application No.: 09/312,255

Filed: May 14, 2002

For: APPLICATIONS AND SERVICES SUPPORTED BY A CLIENT-SERVER INDEPENDENT INTERMEDIARY MECHANISM

(title)

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

☐ Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

☒ No additional fee is required.

☒ Itemized Postcard showing contents

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra
Total Claims	*28	Minus **	36	0
Indep. Claims	*6	Minus ***	6	0
<input type="checkbox"/>	<b>First Presentation of Multiple Dependent Claim(s)</b>			

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

**SMALL ENTITY**

Rate	Additional Fee
X9	\$
X40	\$
+135	\$
Total Add. Fee	\$

**OTHER THAN A SMALL ENTITY**

Rate	Additional Fee
X18	\$0.00
X80	\$0.00
+270	\$0.00
Total Add. Fee	\$0.00

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Name of Person Mailing Correspondence

Pamala Stephenson October 2, 2002  
Signature Date

Docket Number: 3982.P002

Serial Number: 09/312,255  
(LJV/cak 10/01/00)

\_\_\_\_\_ A check in the amount of \$\_\_\_\_\_ is attached for presentation of additional claim(s).

\_\_\_\_\_ Applicant(s) hereby Petition(s) for an Extension of Time of \_\_\_\_\_ month(s) pursuant to 37 C.F.R. § 1.136(a).

\_\_\_\_\_ A check for \$\_\_\_\_\_ is attached for processing fees under 37 C.F.R. § 1.17.

\_\_\_\_\_ Please charge my Deposit Account No. 02-2666 the amount of \$\_\_\_\_\_.  
**A duplicate copy of this sheet is enclosed.**

X The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (a duplicate copy of this sheet is enclosed):

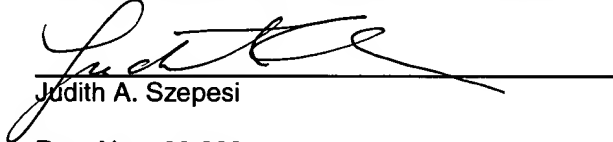
X Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.

X Any extension or petition fees under 37 C.F.R. § 1.17.

Date: 10/2/02

12400 Wilshire Boulevard  
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Judith A. Szepesi

Reg. No. 39,393



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Pamala Stephenson  
Signature

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Date

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OCT 11 2002

Technology Center 2100

Serial/Patent No.: 09/312,255

Filing/Issue Date: May 14, 2002

Client: Pivla, Inc.

Title: APPLICATIONS AND SERVICES SUPPORTED BY A CLIENT-SERVER  
INDEPENDENT INTERMEDIARY MECHANISM

BSTZ File No.: 3982.P002

Atty/Secty Initials: JAS/pjs

Date Mailed: October 2, 2002

Docket Due Date: October 2, 2002

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Amendment/Response ( <u>18</u> pgs.)                       | <input type="checkbox"/> Express Mail No.: _____                                     | <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                              | <input type="checkbox"/> _____ Month(s) Extension of Time                            | Amt: _____                               |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)            | <input type="checkbox"/> Information Disclosure Statement & PTO-1449 (____ pgs.)     | <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                   | <input type="checkbox"/> Issue Fee Transmittal                                       | Amt: _____                               |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)                     | <input type="checkbox"/> Notice of Appeal  |  |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                            | <input type="checkbox"/> Petition for Extension of Time                              |  |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)                | <input type="checkbox"/> Petition for _____  |  |
| <input type="checkbox"/> Application - Design (____ pgs.)                                      | <input checked="" type="checkbox"/> Postcard   |  |
| <input type="checkbox"/> Application - PCT (____ pgs.)   | <input type="checkbox"/> Power of Attorney (____ pgs.)                               |  |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                                 | <input type="checkbox"/> Preliminary Amendment (____ pgs.)                           |  |
| <input type="checkbox"/> Assignment and Cover Sheet  | <input type="checkbox"/> Reply Brief (____ pgs.)                                     |  |
| <input checked="" type="checkbox"/> Certificate of Mailing                                     | <input type="checkbox"/> Response to Notice of Missing Parts                         |  |
| <input type="checkbox"/> Declaration & POA (____ pgs.)   | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |  |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate                 |  |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures                    | <input type="checkbox"/> Fee Transmittal, in duplicate                               |  |

☐ Other: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

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EHT OTHER: \_\_\_\_\_